



## Registry Services Evaluation Policy (RSEP) Request

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### Registry Operator

DotAsia Organisation Limited  
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### Request Details

Case Number: 00906260

This service request should be used to submit a Registry Services Evaluation Policy (RSEP) request. An RSEP is required to add, modify or remove Registry Services for a TLD. More information about the process is available at <https://www.icann.org/resources/pages/rsep-2014-02-19-en>

Complete the information requested below. All answers marked with a red asterisk are required. Click the Save button to save your work and click the Submit button to submit to ICANN.

### PROPOSED SERVICE

#### 1. Name of Proposed Service

Bulk Transfer after Partial Portfolio Acquisition ("BTAPPA")

#### 2. Technical description of Proposed Service. If additional information needs to be considered, attach one PDF file

Background: BTAPPA will be beneficial in situations where one ICANN-accredited registrar purchases (the "GainingRegistrar"), by means of a stock or asset purchase, merger or similar transaction, a portion, but not all, of another ICANNaccredited registrar's domain name portfolio ("Losing Registrar") in the .ASIA top-level domain ("TLD") or where a GainingRegistrar receives a request from a registrant to transfer a significant number of its domain names from a Losing Registrar to such Gaining Registrar.

Unless an entire portfolio of domain names is being transferred, Gaining Registrars must request that each domain name be transferred individually. Gaining Registrars must meet the following requirements:

- Gaining Registrar must be ICANN accredited for the .ASIA TLD.
- Gaining Registrar must be in good standing and be under a Registry-Registrar Agreement with DotAsia.
- Gaining Registrar must provide DotAsia with evidence (i.e., affidavit, sale documents) that sets forth the transfer date or, if an acquisition, the target closing date.
- If domain names are to be transferred from multiple Losing Registrars, then they must be Registrar Affiliates. A Registrar Affiliate is a registrar entity that controls, is controlled by or is under common control with, another the Losing Registrar.
- Transfers of domain names to multiple Gaining Registrars will not be permitted, regardless of familiar relationship.
- Losing Registrar must certify that existing Registrar-Registrant Agreement with customers permits the transfer of domain names in the event of acquisition by another party. Losing Registrar must ensure that no obstacles are placed in the way of the Gaining Registrar.
- Both Gaining and Losing Registrar must approve the list of domain names subject to the BTAPPA prior to the change in sponsorship by DotAsia.
- Domain names with the following statuses at the registry at the time of the transfer will not be subject to the BTAPPA: (i) pending transfer, (ii) redemption grace period (RGP), and (iii) pending delete. Domain names within the 45-day auto renew grace period are subject to BTAPPA, but the Registry may be permitted to deny credit for those domain names the registrant(s) choose to delete after the partial BTAPPA, but prior to the expiration of the 45-day auto renew grace window.
  
- If an acquisition, Losing Registrar must agree to provide at least fifteen (15) day prior written notice of the partial Bulk Transfer to all registrants associated with domain names involved in the transfer. Notice must include: (i) a statement that all Transfers Rules and Policies set by ICANN and the Registry shall remain in effect; (ii) instructions on how a registrant can opt-out of bulk transfer in the event that the Losing Registrar has indicated that it is willing to continue to serve as the registrar for the domain name.
- Gaining Registrar must make request for BTAPPA from DotAsia within one (1) calendar year of the closing date of the acquisition.
- Request for BTAPPA service from DotAsia is limited to one (1) request per Registrar Affiliates per six (6) month period unless otherwise agreed to by DotAsia.
- DotAsia has discretion to reject the request for BTAPPA if there is reasonable evidence that BTAPPA is being requested to avoid fees otherwise due to DotAsia or ICANN.
- BTAPPA may not be requested if the Gaining Registrar's request would otherwise qualify for bulk transfer under Part B of the Policy on Transfer of Registrations Between Registrars implemented by ICANN.
- Once a BTAPPA has been completed, there is no grace period to reverse the transfer.

If additional information needs to be considered, attach one file

## CONSULTATION

3. Please describe with specificity your consultations with the community, experts and or others. What were the quantity, nature and content of the consultations?

Registrars have periodically approached DotAsia (as well as other gTLD registries) about offering BTAPPA. DotAsia has received positive feedback regarding BTAPPA from continuing discussions with registrars. Registrars view the service as an appropriate tool to support the ever-changing nature of the registrars' business models. Please note that Neustar's (formerly known as NeuLevel) proposal was submitted and approved by ICANN in 2006, and Verisign's proposal was submitted and approved by ICANN in 2009.

3a. If the registry is a sponsored TLD, what were the nature and content of these consultations with the sponsored TLD community?

DotAsia consulted with the DotAsia Advisory Council (<https://www.dot.asia/dotasia-organisation/community-governance/>) and has received no objection for proceeding with this request.

3b. Were consultations with gTLD registrars or the registrar constituency appropriate? Which registrars were consulted? What were the nature and content of the consultation?

Registrars have periodically approached DotAsia (as well as other unsponsored gTLD registries) about offering BTAPPA. DotAsia has received positive feedback regarding BTAPPA from continuing discussions with registrars. Registrars view the service as an appropriate tool to support the ever-changing nature of the registrars' business models. Please note that Neustar's (formerly known as NeuLevel) proposal was submitted and approved by ICANN in 2006, and Verisign's proposal was submitted and approved by ICANN in 2009.

3c. Were consultations with other constituency groups appropriate? Which groups were consulted? What were the nature and content of these consultations?

No consultations with other constituency groups were made.

3d. Were consultations with end users appropriate? Which groups were consulted? What were the nature and content of these consultations?

No. Neustar's and Verisign's BTAPPA proposals were approved in 2006 and 2009, respectively. DotAsia is not aware of any concerns raised by end users.

3e. Who would endorse the introduction of this service? What were the nature and content of these consultations?

Registrars would endorse the introduction of BTAPPA. Given the lack of negative end user feedback on proposed service, DotAsia believes that registrants also will endorse the introduction of BTAPPA.

3f. Who would object the introduction of this service? What were (or would be) the nature and content of these consultations?

To date, no one has raised objections to the introduction of BTAPPA. DotAsia does not anticipate any objections, especially since Neustar's and Verisign's proposals were approved and no negative comments were received from the community.

## **TIMELINE**

4. Please describe the timeline for implementation of the proposed new registry service.

Since DotAsia's Bulk Transfer tool is already in use, DotAsia intends to implement BTAPPA within fifteen (15) days of RSEP approval. DotAsia would educate the registrars as to the availability of the tool and the required processes during the fifteen (15) day period and on a continuing basis thereafter.

## **BUSINESS DESCRIPTION**

5. Describe how the Proposed Service will be offered.

DotAsia will offer BTAPPA to all ICANN-registrars in the .ASIA top-level domains. In order to access the service, Gaining Registrars must submit a form to DotAsia's Tech Support team at [techsupport@nic.asia](mailto:techsupport@nic.asia), which includes the information described above. DotAsia will validate the registrar's identity, verify the contents of the submission and schedule the date for BTAPPA. DotAsia may request additional documentation or take additional steps it deems appropriate to ensure that all requirements are met with respect to Registrar Affiliate relationships. DotAsia will then submit the file provided by the Gaining Registrar to the Bulk Transfer tool and, upon completion, DotAsia's Tech Support will notify both the Losing and Gaining registrars of the transfer of the list of domain names as well as any that were not transferred and the reason for non-transfer.

6. Describe quality assurance plan or testing of Proposed Service.

DotAsia tested the Bulk Transfer tool as part of each individual software release to the Shared Registry System. The Bulk Transfer tool is frequently used in production.

7. Please list any relevant RFCs or White Papers on the proposed service and explain how those papers are relevant.

None.

## **CONTRACTUAL PROVISIONS**

8. List the relevant contractual provisions impacted by the Proposed Service.

If approved, this proposed service would be referenced in Appendix 7, Section 10 of the 2006.ASIA Registry Agreement.

9. What effect, if any, will the Proposed Service have on the reporting of data to ICANN.

The proposed service will have no impact on the reporting of data to ICANN.

10. What effect, if any, will the Proposed Service have on the Whois?

BTAPPA will have no effect on Whois. The new registrar will be available in Whois following the completion of the BTAPPA.

If additional information needs to be considered, attach one PDF file.

11. What effect, if any, will the proposed service have on the price of a domain name registration?

BTAPPA will have no effect on the price of a domain name registration.

If additional information needs to be considered, attach one file.

## CONTRACT AMENDMENTS

12. Please describe or provide the necessary contractual amendments for the proposed service

Appendix 7: Functional and Performance Specifications

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10. Bulk Transfer After Partial Portfolio Acquisition.

Bulk Transfer After Partial Portfolio Acquisition (BTAPPA) is a registry service available to consenting registrars in the circumstance where one ICANN-accredited registrar purchases, by means of a stock or asset purchase, merger or similar transaction, a portion but not all, of another ICANN-accredited registrar's domain name portfolio in the .ASIA top-level domain.

At least fifteen days before completing a BTAPPA, the losing registrar must provide to all domain name registrants for names involved in the bulk transfer, written notice of the bulk change of sponsorship. The notice must include an explanation of how the Whois record will change after the bulk transfer occurs, and customer support and technical contact information of the gaining registrar.

If a domain is transferred under the BTAPPA service during any applicable grace period as described in Section 3 above, there is no credit. The expiration dates of transferred registrations are not affected.

Domain names in the following statuses at the time of the Transfer Request will not be transferred in a BTAPPA: "pending transfer", "redemption grace period (RGP)", or "pending delete". Domain names that are within the auto-renew grace window are subject to bulk transfer, but Registry Operator may decline to provide a credit for those names deleted after the bulk transfer, but prior to the expiration of the auto-renew grace window.

Registry Operator has discretion to reject a BTAPPA request if there is reasonable evidence that a transfer under BTAPPA is being requested in order to avoid fees otherwise due to Registry Operator or ICANN, or if a registrar with common ownership or management or both has already requested BTAPPA service within the preceding six-month period.

In the event that one or more ICANN-accredited Registrars participate in the BTAPPA service, each such Registrar shall be required to agree to the pricing, terms and conditions set forth.

## BENEFITS OF PROPOSED SERVICE

13. Describe the benefits of the Proposed Service.

There is no ICANN policy or provision that currently addresses the business situation where only a portion of a Registrar's domain name portfolio is acquired. This service would facilitate the smooth transfer of a partial portfolio of domain names from one ICANN accredited registrar or recognized family of registrars to another ICANN accredited registrar.

- Benefit to Registry - Efficient method of changing sponsorship of domain names where one ICANN accredited registrar purchased a partial portfolio of domain names sponsored by another ICANN accredited registrar.

- Benefit to Registrars - Provides opportunity to utilize bulk transfer mechanisms to change the sponsorship of a partial portfolio of names purchased from another ICANN accredited registrar or recognized family of registrars.

- Benefits to Registrants - Change of sponsorship of domain names subject to a partial portfolio acquisition from one registrar to another is open and transparent and in a manner that affords registrants protection under ICANN rules. Protections are in place to give registrants to opt out of the transfer. In addition, a registrant would have the right to transfer to another registrar after the partial bulk transfer occurred.

## COMPETITION

14. Do you believe your proposed new Registry Service would have any positive or negative effects on competition? If so, please explain.

BTAPPA would have no negative effects on competition. The service is designed to facilitate registrars conducting business through the acquisition and sale of partial portfolios of domain name registrations, which will support registrars current and ever changing business models.

15. How would you define the markets in which your proposed Registry Service would compete?

The market for this service is the registrar community and their customers.

16. What companies/entities provide services or products that are similar in substance or effect to your proposed Registry Service?

Neustar and Verisign already provide BTAPPA for the .BIZ, .COM and .NET registries.

17. In view of your status as a registry operator, would the introduction of your proposed Registry Service potentially impair the ability of other companies/entities that provide similar products or services to compete?

No. Other TLD registry operators may choose to offer BTAPPA.

18. Do you propose to work with a vendor or contractor to provide the proposed Registry Service? If so, what is the name of the vendor/contractor, and describe the nature of the services the vendor/contractor would provide.

DotAsia uses Afilias as the backend registry services provider. Afilias already has the same service deployed for other gTLDs they operate.

19. Have you communicated with any of the entities whose products or services might be affected by the introduction of your proposed Registry Service? If so, please describe the communications.

Not applicable.

20. Do you have any documents that address the possible effects on competition of your proposed Registry Service? If so, please submit them with your application. (ICANN will keep the documents confidential).

DotAsia has no documents to submit.

If additional information needs to be considered, attach one PDF file.

## **SECURITY AND STABILITY**

21. Does the proposed service alter the storage and input of Registry Data?

No. The proposed service will not alter the storage and input of Registry Data.

22. Please explain how the proposed service will affect the throughput, response time, consistency or coherence of responses to Internet servers or end systems.



BTAPPA will have no impact on throughput, response time, consistency or coherence of the responses to Internet servers or end systems.

23. Have technical concerns been raised about the proposed service, and if so, how do you intend to address those concerns?

No technical concerns have been raised.

## **OTHER ISSUES**

24. Are there any Intellectual Property considerations raised by the Proposed Service?

(1) Trademark or similar rights may exist or arise with respect to trade names or terminology used in connection with the proposed service; (2) Copyright protection may exist or arise in connection with code written or materials created in connection with the proposed service; (3) Certain information or processes related to the service may be confidential to DotAsia and/or subject to trade secret protection; (4) DotAsia is not aware of the issuance of any patents by any party with respect to the service.

If additional information needs to be considered, attach one PDF file.

25. Does the proposed service contain intellectual property exclusive to your gTLD registry?

No.

26. List Disclaimers provided to potential customers regarding the Proposed Service.

DotAsia intends to include industry standard disclaimers in the service agreement. In addition, disclaimers to potential customers will include a statement that the BTAPPA should have no effect on any special contract arrangements related to the domain names in the portfolio.

If additional information needs to be considered, attach one PDF file.

27. Please provide any other relevant information to include with the request.

DotAsia is simply seeking to make the BTAPPA service available to a TLD for which it serves as the registry operator. In addition, ICANN has previously approved BTAPPA under similar requests for three TLDs (.COM, .NET, and .BIZ).

Click the Save button to save your work and click the Submit button to submit to ICANN.

**Affected TLDs**

Current Registry Operator	Top Level Domain	Registry Agreement Date
DotAsia Organisation Limited	asia	